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Attorney Docket No. 49,639 (70820)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Y. Kubota, et al. EXAMINER: Dinh, Duc Q.  
SERIAL NO.: 09/523,511 GROUP: 2674  
FILED: March 10, 2000  
FOR: SHIFT REGISTER CIRCUIT, IMAGE DISPLAY APPARATUS  
HAVING THE CIRCUIT, AND DRIVING METHOD FOR LCD  
DEVICES

\*\*\*\*\*

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 18 March 2003.

By: Kathryn A. Grindrod  
Kathryn A. Grindrod

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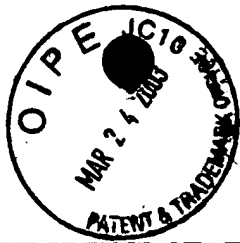
BOX: FEE AMENDMENT  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**COMBINED AMENDMENT AND REQUEST FOR  
APPROVAL OF PROPOSED DRAWING CHANGE**

In response to the Official Action currently outstanding with regard to the above-identified case, Applicants respectfully request that the following Request for Drawing Change Approval be granted and that the following Amendment be entered.

03/28/2003 CV0111 00000021 09523511 36.00 OP  
01 FC:1202



Practitioner's Docket No. 49639 (70820)  
PATENT

2674

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Kubota, et al.  
Application No.: 09/523,511 Group No.: 2674  
Filed: March 10, 2000 Examiner: Dinh, Duc Q.  
For: SHIFT REGISTER CIRCUIT, IMAGE DISPLAY APPARATUS HAVING THE  
CIRCUIT, AND DRIVING METHOD FOR LCD DEVICES

Box: FEE AMENMENT  
Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is  
[ ] a small entity. A statement:  
[ ] is attached.  
[ ] was already filed.  
[ X ] other than a small entity.

RECEIVED  
APR 02 2003  
TECHNOLOGY CENTER R3700

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) -- If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- [ x ] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- [ ] transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_-\_\_\_\_.

Kathryn A. Grindrod  
Signature

Date: March 18, 2003

Kathryn A. Grindrod  
(type or print name of person certifying)

of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. Section 1.645 for extensions of time in interference proceedings, and 37 C.F.R. Section 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

*(complete (a) or (b), as applicable)*

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 410.00	\$ 205.00
<input type="checkbox"/>	three months	\$ 930.00	\$ 465.00
<input type="checkbox"/>	four months	\$ 1,450.00	\$ 725.00
<input type="checkbox"/>	fifth month	\$ 1,970.00	\$ 985.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

**OR**

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col.1)		(Col. 2)		(Col. 3) SMALL ENTITY			OTHER THAN A SMALL ENTITY		
Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee
Total	36	Minus	34	= 2	x \$9 =	\$		x \$18 =	\$ 36.00
Indep.	2	Minus	3	= 0	x \$42 =	\$		x \$84 =	\$ 0.00
[ ] First Presentation of Multiple Dependent Claim					+ \$140 =	\$		+ \$280 =	\$ 0.00
						Total Addit. Fee \$	OR	Total Addit. Fee	\$ 36.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) [ ] No additional fee for claims is required.

**OR**

(d) [ X ] Total additional fee for claims required \$ 36.00

### FEE PAYMENT

5. [ X ] Attached is a check in the sum of \$ 36.00
- [ ] Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_
- A duplicate of this transmittal is attached.

## FEE DEFICIENCY

*NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 04-1105.

### AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 04-1105.

Respectfully submitted

Date: March 18, 2003

By:

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